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Received
22 OCT 2001

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October 15, 2001

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2613

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Customer Service Center
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Re: Our File: SONYJP 3.3-122
Appln. No. 09/807,804
Filing Date: September 14, 2001
Group Art Unit: 2613

RECEIVED
NOV 20 2001
Technology Center 2600

Dear Sir:

We are enclosing herewith copies of the filing receipt and executed Declaration for the above-identified patent application.

Please note that the correct *Title* should read --TRANSMISSION METHOD AND RECEIVING DEVICE--.

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

ARNOLD H. KRUMHOLZ
Reg. No. 25,428

AHK:ls

Enclosures

333376_1.DOC



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/807,804	09/14/2001	2613	990	SONYJP- 33-122	41	17	2

CONFIRMATION NO. 5871

FILING RECEIPT



OC000000006793181

Lerner David Littenberg
Krumholz & Mentlik
600 South Avenue West
Westfield, NJ 07090

Date Mailed: 10/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Fumihiko Nishio, Tokyo, JAPAN;
Keiji Yuzawa, Saitama, JAPAN;
Takashi Tsurumoto, Saitama, JAPAN;
Yasuaki Yamagishi, Kanagawa, JAPAN;
Eiji Yoshida, Kanagawa, JAPAN;
Yoshihisa Gonno, Kanagawa, JAPAN;

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Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/05558 08/18/2000

Foreign Applications

JAPAN 11-233245 08/19/1999
JAPAN 11-233246 08/19/1999
JAPAN 11-233247 08/19/1999
JAPAN 11-233248 08/19/1999
JAPAN 11-233249 08/19/1999
JAPAN 11-233250 08/19/1999
JAPAN 11-233251 08/19/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

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OCT 05 2001

LDLK&M

Early Publication Request: No

Title

Transmission method and receiver

Preliminary Class

348

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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300P0972 US 00

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATIONATTORNEY'S DOCKET NO.: SONYJP-122

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: _____

TRANSMISSION METHOD AND RECEIVING DEVICE

, the specification of which

☐ is attached hereto☒ was filed on August 18, 2000 as United States Application Number or PCT International Application Number PCT/JP00/05558 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (month, day, year)	PRIORITY CLAIMED
Japan	11-233245	August 19, 1999	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
Japan	11-233250	August 19, 1999	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
Japan	11-233251	August 19, 1999	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>

LISTING OF FOREIGN APPLICATIONS CONTINUED ON PAGE 3 HEREOF ☒ YES ☐ NO

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Application Number: _____ Filing Date: NOV 20 2001Application Number: _____ Filing Date: Technology Center 2600

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. Parent Application Serial Number: _____ Parent Filing Date: _____ Parent Patent No.: _____

U.S. Parent Application Serial Number: _____ Parent Filing Date: _____ Parent Patent No.: _____

PCT Parent Number: _____ Parent Filing Date: _____

LISTING OF US APPLICATIONS CONTINUED ON PAGE 3 HEREOF: ☐ YES ☒ NO

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Lawrence I. Lerner, Reg. No. 19,516; Sidney David, Reg. No. 22,768; Joseph S. Littenberg, Reg. No. 20,832; Arnold H. Krumholz, Reg. No. 25,428; William L. Mentlik, Reg. No. 27,108; John R. Nelson, Reg. No. 26,573; Roy H. Wepner, Reg. No. 28,350; Stephen B. Goldman, Reg. No. 28,512; Paul H. Kochanski, Reg. No. 29,660; Marcus J. Millet, Reg. No. 28,241; Bruce H. Sales, Reg. No. 32,793; Daniel H. Bobis, Reg. No. 16,694; Keith E. Gilman, Reg. No. 32,137; Robert B. Cohen, Reg. No. 32,768; Arnold B. Dompieri, Reg. No. 29,736; Michael H. Teschner, Reg. No. 32,862; Gregory S. Gewirtz, Reg. No. 36,522; Jonathan A. David, Reg. No. 36,494; Shawn P. Foley, Reg. No. 33,071; Thomas M. Palisi, Reg. No. 36,629; John P. Maldjian, Reg. No. 41,967; Jason D. Shanske, Reg. No. P-43,915; Kimberly V. Flugger, Reg. No. P-43,612; Jason I. Garbell, Reg. No. P-44,116; Renee M. Robeson, Reg. No. 41,777.

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DECLARATION -- Page 2 . . .

SCOPE 972 U.S. 500

ATTORNEY DOCKET NO. SONYJP-122

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name): Fumihiko NISHIO
Inventor's signature Fumihiko Nishio Date Apr. 24, 2001
Residence: Tokyo, Japan Citizenship: Japan
Post Office Address: c/o Sony Corporation, 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo 141-0001 Japan

Full name of second joint inventor, if any (given name, family name): Keiji YUZAWA
Second Inventor's signature Keiji Yuzawa Date May. 7, 2001
Residence: Saitama, Japan Citizenship: Japan
Post Office Address: c/o Sony Corporation, 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo 141-0001 Japan

Full name of third joint inventor, if any (given name, family name): Takashi TSURUMOTO
Third Inventor's signature Takashi Tsurumoto Date May, 7, 2001
Residence: Saitama, Japan Citizenship: Japan
Post Office Address: c/o Sony Corporation, 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo 141-0001 Japan

Full name of fourth joint inventor, if any (given name, family name): Yasunaki YAMAGISHI
Fourth Inventor's signature Yasunaki Yamagishi Date Apr. 20, 2001
Residence: Kanagawa, Japan Citizenship: Japan
Post Office Address: c/o Sony Corporation, 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo 141-0001 Japan

Full name of fifth joint inventor (given name, family name): Eiji YOSHIDA
Fifth Inventor's signature Eiji Yoshida Date May. 10, 2001
Residence: Kanagawa, Japan Citizenship: Japan
Post Office Address: c/o Sony Corporation, 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo 141-0001 Japan

Full name of sixth joint inventor, if any (given name, family name): Yoshihisa GONNO
Sixth Inventor's signature Yoshihisa Gonno Date Apr. 17, 2001
Residence: Kanagawa, Japan Citizenship: Japan
Post Office Address: c/o Sony Corporation, 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo 141-0001 Japan

Full name of seventh joint inventor, if any (given name, family name): _____
Seventh Inventor's signature _____ Date _____
Residence: _____ Citizenship: _____
Post Office Address: _____

Full name of eighth joint inventor, if any (given name, family name): _____
Eighth Inventor's signature _____ Date _____
Residence: _____ Citizenship: _____
Post Office Address: _____

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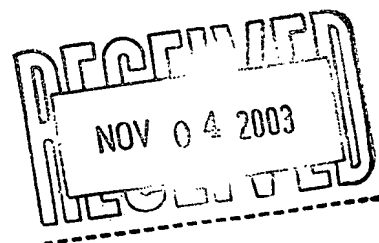
Bib Data Sheet

CONFIRMATION NO. 5871

SERIAL NUMBER 09/807,804	FILING OR 371(c) DATE 09/14/2001 RULE	CLASS 725	GROUP ART UNIT 2611	ATTORNEY DOCKET NO. SONYJP-122
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 Takashi Tsurumoto, Saitama, JAPAN;
 Yasuaki Yamagishi, Kanagawa, JAPAN;
 Eiji Yoshida, Kanagawa, JAPAN;
 Yoshihisa Gonno, Kanagawa, JAPAN;



** CONTINUING DATA *****

This application is a 371 of PCT/JP00/05558 08/18/2000

** FOREIGN APPLICATIONS *****

JAPAN 11-233245 08/19/1999
 JAPAN 11-233246 08/19/1999
 JAPAN 11-233247 08/19/1999
 JAPAN 11-233248 08/19/1999
 JAPAN 11-233249 08/19/1999
 JAPAN 11-233250 08/19/1999
 JAPAN 11-233251 08/19/1999

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

** 09/25/2003

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY JAPAN	SHEETS DRAWING 41	TOTAL CLAIMS 17	INDEPENDENT CLAIMS 2
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

ADDRESS

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TITLE

Transmission method and receiving device

FILING FEE RECEIVED 990	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
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		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)

		<input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit _____
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